

**General information about company**

Scrip code	539798
NSE Symbol	
MSEI Symbol	
ISIN	INE173U01015
Name of the company	Umiya Tubes Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	14	5244668			5244668	52.41	5244668		5244668	52.41
(B)	Public	778	4761999			4761999	47.59	4761999		4761999	47.59
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	792	10006667			10006667	100	10006667		10006667	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				52.41	40000	0.76			5244668
(B)	Public				47.59					4761999
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	40000	0.4			10006667

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	13	4689112			4689112	46.86	4689112		4689112	46.86
(d)	Any Other (specify)	1	555556			555556	5.55	555556		555556	5.55
Sub-Total (A)(1)		14	5244668			5244668	52.41	5244668		5244668	52.41
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	5244668			5244668	52.41	5244668		5244668	52.41
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	678	1364730			1364730	13.64	1364730		1364730	13.64
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	47	2637350			2637350	26.36	2637350		2637350	26.36
(e)	Any Other (specify)	53	759919			759919	7.59	759919		759919	7.59
Sub-Total (B)(3)		778	4761999			4761999	47.59	4761999		4761999	47.59
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		778	4761999			4761999	47.59	4761999		4761999	47.59
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		792	10006667			10006667	100	10006667		10006667	100
Total (A+B+C)		792	10006667			10006667	100	10006667		10006667	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				46.86	40000	0.85			4689112
(d)				5.55	0	0			555556
Sub-Total (A) (1)				52.41	40000	0.76			5244668
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				52.41	40000	0.76			5244668
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				13.64					1364730
(a(ii))				26.36					2637350
(e)				7.59					759919
Sub-Total (B) (3)				47.59					4761999
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				47.59					4761999
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	40000	0.4			10006667
Total (A+B+C )				100	40000	0.4			10006667

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	BHARATKUMAR PARSOTAMDAS PATEL	BHIKHAI KACHRAJI CHAVDA	BEENA PRAVINSINH VAGHELA	SURENDRASINH PRAVINSINH VAGHELA	SAURABHKUMAR R PATEL	B K CHAVDA HUF	RIKENKUMAR BHARATBHIA PATEL
PAN (II)	CDSPP5146D	AEQPC0105E	ADSPV5653R	AEAPV7791K	BWYPP1901N	AAGHB2397R	CPQPP6233R
No. of fully paid up equity shares held (IV)	1344655	896666	734666	413333	389166	266666	210296
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1344655	896666	734666	413333	389166	266666	210296
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.44	8.96	7.34	4.13	3.89	2.66	2.1
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1344655	896666	734666	413333	389166	266666	210296
Class eg:y							
Total	1344655	896666	734666	413333	389166	266666	210296
Total as a % of Total Voting rights	13.44	8.96	7.34	4.13	3.89	2.66	2.1
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a)	13.44	8.96	7.34	4.13	3.89	2.66	2.1

As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)	0	0	0	40000	0	0	0
As a % of total Shares held (b)	0	0	0	9.68	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1344655	896666	734666	413333	389166	266666	210296
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter Group	Promoter	Promoter	Promoter	Promoter Group	Promoter Group



**Individuals/Hindu undivided Family**

Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	PARASBEN SURENDRASINH VAGHELA	NAITIK BHIKHUSINH CHAVDA	JANAKBA PRAVINSINH VAGHELA	PRAVINSINH NATHUSINH VAGHELA	VIRAL SAURABH PATEL	PATEL USHABEN VAGHELA
PAN (II)	ATEPN4525E	BBIPC3047F	AFFPV1071C	ABHPV4872D	CYYPP4357D	CPQPP5805K
No. of fully paid up equity shares held (IV)	133333	82000	133333	66666	16666	1666
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	133333	82000	133333	66666	16666	1666
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.33	0.82	1.33	0.67	0.17	0.02
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	133333	82000	133333	66666	16666	1666
Class eg:y						
Total	133333	82000	133333	66666	16666	1666
Total as a % of Total Voting rights	1.33	0.82	1.33	0.67	0.17	0.02
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.33	0.82	1.33	0.67	0.17	0.02
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0



## Individuals/Hindu undivided Family

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	4689112
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4689112
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	46.86
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	4689112
Class eg:y	
Total	4689112
Total as a % of Total Voting rights	46.86
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	46.86
Number of Locked in shares (XII)	
No. (a)	40000
As a % of total Shares held (b)	0.85
Number of Shares pledged or otherwise encumbered (XIII)	

No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	4689112
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

**Any Other (specify)**

Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SNB CORPORATION PRIVATE LIMITED	
PAN (II)	AASCS2688B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	555556	555556
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	555556	555556
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.55	5.55
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	555556	555556
Class eg:y		
Total	555556	555556
Total as a % of Total Voting rights	5.55	5.55
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.55	5.55
<b>Number of Locked in shares (XII)</b>		
No. (a)	0	0

As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	555556	555556
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Market Maker	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		PROFILE INDUSTRIES LIMITED	RCSPL SHARE BROKING PRIVATE LIMITED	RCSPL MULTICOMMODITIES PRIVATE LIMITED			
PAN (II)		AAACR9172R	AAHCR9104Q	AAHCR9105R			
No. of the Shareholders (I)	14	1	1	1	11	1	3
No. of fully paid up equity shares held (IV)	626347	136666	127615	124450	63719	8556	689
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	626347	136666	127615	124450	63719	8556	689
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.26	1.37	1.28	1.24	0.64	0.09	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	626347	136666	127615	124450	63719	8556	689
Class eg:y							
Total	626347	136666	127615	124450	63719	8556	689
Total as a % of Total Voting rights	6.26	1.37	1.28	1.24	0.64	0.09	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	6.26	1.37	1.28	1.24	0.64	0.09	0.01

diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	626347	136666	127615	124450	63719	8556	689
Reason for not providing PAN							
Reason for not providing PAN							



**Any Other (specify)**

Serial No.	8	
Category	HUF	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	24	53
No. of fully paid up equity shares held (IV)	60608	759919
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	60608	759919
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.61	7.61
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	60608	759919
Class eg:y		
Total	60608	759919
Total as a % of Total Voting rights	0.61	7.61
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.61	7.61
<b>Number of Locked in shares (XII)</b>		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	60608	759919
Reason for not providing PAN		
Reason for not providing PAN		

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence