

General information about company

| | |
|--|-----------------------|
| Scrip code | 539798 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE173U01015 |
| Name of the company | Umiya Tubes Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 14 | 5171668 | | | 5171668 | 51.68 | 5171668 | | 5171668 | 51.68 |
| (B) | Public | 784 | 4834999 | | | 4834999 | 48.32 | 4834999 | | 4834999 | 48.32 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 798 | 10006667 | | | 10006667 | 100 | 10006667 | | 10006667 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 51.68 | 40000 | 0.77 | | | 5171668 |
| (B) | Public | | | | 48.32 | | | | | 4834999 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | 40000 | 0.4 | | | 10006667 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 13 | 4689112 | | | 4689112 | 46.86 | 4689112 | | 4689112 | 46.86 |
| (d) | Any Other (specify) | 1 | 482556 | | | 482556 | 4.82 | 482556 | | 482556 | 4.82 |
| Sub-Total (A)(1) | | 14 | 5171668 | | | 5171668 | 51.68 | 5171668 | | 5171668 | 51.68 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 14 | 5171668 | | | 5171668 | 51.68 | 5171668 | | 5171668 | 51.68 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 682 | 1340835 | | | 1340835 | 13.4 | 1340835 | | 1340835 | 13.4 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 42 | 2313198 | | | 2313198 | 23.12 | 2313198 | | 2313198 | 23.12 |
| (e) | Any Other (specify) | 60 | 1180966 | | | 1180966 | 11.8 | 1180966 | | 1180966 | 11.8 |
| Sub-Total (B)(3) | | 784 | 4834999 | | | 4834999 | 48.32 | 4834999 | | 4834999 | 48.32 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 784 | 4834999 | | | 4834999 | 48.32 | 4834999 | | 4834999 | 48.32 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 798 | 10006667 | | | 10006667 | 100 | 10006667 | | 10006667 | 100 |
| Total (A+B+C) | | 798 | 10006667 | | | 10006667 | 100 | 10006667 | | 10006667 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 46.86 | 40000 | 0.85 | | | 4689112 |
| (d) | | | | 4.82 | 0 | 0 | | | 482556 |
| Sub-Total (A) (1) | | | | 51.68 | 40000 | 0.77 | | | 5171668 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 51.68 | 40000 | 0.77 | | | 5171668 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 13.4 | | | | | 1340835 |
| (a(ii)) | | | | 23.12 | | | | | 2313198 |
| (e) | | | | 11.8 | | | | | 1180966 |
| Sub-Total (B) (3) | | | | 48.32 | | | | | 4834999 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 48.32 | | | | | 4834999 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 40000 | 0.4 | | | 10006667 |
| Total (A+B+C) | | | | 100 | 40000 | 0.4 | | | 10006667 |

Individuals/Hindu undivided Family

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|-------------------------------|--------------------------|--------------------------|---------------------------------|--------------------|----------------|-----------------------------|
| Name of the Shareholders (I) | BHARATKUMAR PARSOTAMDAS PATEL | BHIKHAI KACHARAJI CHAVDA | VAGHELA BEENA PRAVINSHIH | SURENDRASINH PRAVINSINH VAGHELA | PATEL SAURABHKUMAR | B K CHAVDA HUF | RIKENKUMAR BHARATBHAI PATEL |
| PAN (II) | CDSPP5146D | AEQPC0105E | ADSPV5653R | AEAPV7791K | BWYPP1901N | AAGHB2397R | CPQPP6233R |
| No. of fully paid up equity shares held (IV) | 1344655 | 896666 | 734666 | 413333 | 389166 | 266666 | 210296 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1344655 | 896666 | 734666 | 413333 | 389166 | 266666 | 210296 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.44 | 8.96 | 7.34 | 4.13 | 3.89 | 2.66 | 2.1 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 1344655 | 896666 | 734666 | 413333 | 389166 | 266666 | 210296 |
| Class eg:y | | | | | | | |
| Total | 1344655 | 896666 | 734666 | 413333 | 389166 | 266666 | 210296 |
| Total as a % of Total Voting rights | 13.44 | 8.96 | 7.34 | 4.13 | 3.89 | 2.66 | 2.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) | 13.44 | 8.96 | 7.34 | 4.13 | 3.89 | 2.66 | 2.1 |

| | | | | | | | |
|---|----------|----------------|----------|----------|----------|----------------|----------------|
| As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | 0 | 0 | 0 | 40000 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 9.68 | 0 | 0 | 0 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1344655 | 896666 | 734666 | 413333 | 389166 | 266666 | 210296 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter | Promoter Group | Promoter | Promoter | Promoter | Promoter Group | Promoter Group |

Individuals/Hindu undivided Family

| Serial No. | 8 | 9 | 10 | 11 | 12 | 13 |
|--|-------------------------------|----------------------------|--------------------------|------------------------------|---------------------|-----------------------------|
| Name of the Shareholders (I) | PARASBEN SURENDRASINH VAGHELA | JANAKBA PRAVINSINH VAGHELA | NAITIK BHIKHUSINH CHAVDA | PRAVINSINH NATHUSINH VAGHELA | VIRAL SAURABH PATEL | PATEL USHABEN RAMESHCHANDRA |
| PAN (II) | ATEPV4525E | AFFPV1071C | BBIPC3047F | ABHPV4872D | CYYP4357D | CPQPP5805K |
| No. of fully paid up equity shares held (IV) | 133333 | 133333 | 82000 | 66666 | 16666 | 1666 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 133333 | 133333 | 82000 | 66666 | 16666 | 1666 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.33 | 1.33 | 0.82 | 0.67 | 0.17 | 0.02 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 133333 | 133333 | 82000 | 66666 | 16666 | 1666 |
| Class eg:y | | | | | | |
| Total | 133333 | 133333 | 82000 | 66666 | 16666 | 1666 |
| Total as a % of Total Voting rights | 1.33 | 1.33 | 0.82 | 0.67 | 0.17 | 0.02 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.33 | 1.33 | 0.82 | 0.67 | 0.17 | 0.02 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | 0 | 0 | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 | 0 | 0 |

Individuals/Hindu undivided Family

| | |
|--|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 4689112 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4689112 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 46.86 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 4689112 |
| Class eg:y | |
| Total | 4689112 |
| Total as a % of Total Voting rights | 46.86 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 46.86 |
| Number of Locked in shares (XII) | |
| No. (a) | 40000 |
| As a % of total Shares held (b) | 0.85 |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| | |

| | |
|---|---------|
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 4689112 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |
| Shareholder type | |

Any Other (specify)

| | | |
|--|---------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SNB CORPORATION PRIVATE LIMITED | |
| PAN (II) | AASCS2688B | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 482556 | 482556 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 482556 | 482556 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.82 | 4.82 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 482556 | 482556 |
| Class eg:y | | |
| Total | 482556 | 482556 |
| Total as a % of Total Voting rights | 4.82 | 4.82 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.82 | 4.82 |
| Number of Locked in shares (XII) | | |
| No. (a) | 0 | 0 |

| | | |
|---|----------------|--------|
| As a % of total Shares held (b) | 0 | 0 |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 482556 | 482556 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter Group | |

Any Other (specify)

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|--|--|--|--|--|------------------|------------------|--------------|
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Clearing Members | Market Maker |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | Category |
| Name of the Shareholders (I) | SACHETA METALS LIMITED | PROLIFE INDUSTRIES LIMITED | RCSPL SHARE BROKING PRIVATE LIMITED | RCSPL MULTICOMMODITIES PRIVATE LTD | | | |
| PAN (II) | AACCS8742C | AAACR9172R | AAHCR9104Q | AAHCR9105R | | | |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 17 | 16 | 1 |
| No. of fully paid up equity shares held (IV) | 411193 | 136666 | 126615 | 124450 | 989443 | 99602 | 30000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 411193 | 136666 | 126615 | 124450 | 989443 | 99602 | 30000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.11 | 1.37 | 1.27 | 1.24 | 9.89 | 1 | 0.3 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 411193 | 136666 | 126615 | 124450 | 989443 | 99602 | 30000 |
| Class eg:y | | | | | | | |
| Total | 411193 | 136666 | 126615 | 124450 | 989443 | 99602 | 30000 |
| Total as a % of Total Voting rights | 4.11 | 1.37 | 1.27 | 1.24 | 9.89 | 1 | 0.3 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 4.11 | 1.37 | 1.27 | 1.24 | 9.89 | 1 | 0.3 |

| | | | | | | | |
|--|--------|--------|--------|--------|--------|-------|-------|
| capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 411193 | 136666 | 126615 | 124450 | 989443 | 99602 | 30000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Any Other (specify)

| | | | |
|--|---------------------------|----------|---------------------------------------|
| Serial No. | 8 | 9 | |
| Category | Non-Resident Indian (NRI) | HUF | |
| Category / More than 1 percentage | Category | Category | |
| Name of the Shareholders (I) | | | Click here to go back |
| PAN (II) | | | Total |
| No. of the Shareholders (I) | 3 | 23 | 60 |
| No. of fully paid up equity shares held (IV) | 1314 | 60607 | 1180966 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1314 | 60607 | 1180966 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.01 | 0.61 | 11.81 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 1314 | 60607 | 1180966 |
| Class eg:y | | | |
| Total | 1314 | 60607 | 1180966 |
| Total as a % of Total Voting rights | 0.01 | 0.61 | 11.81 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.01 | 0.61 | 11.81 |
| Number of Locked in shares (XII) | | | |

| | | | |
|---|------|-------|---------|
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 1314 | 60607 | 1180966 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence