

**General information about company**

|  |                       |
|--|-----------------------|
| Scrip code   | 539798                |
| NSE Symbol   |                       |
| MSEI Symbol  |                       |
| ISIN   | INE173U01015          |
| Name of the company  | Umiya Tubes Limited   |
| Whether company is SME   | No                    |
| Class of Security  | Equity Shares         |
| Type of report   | Quarterly             |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 30-09-2020            |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |                       |
| Shareholding pattern filed under   | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)?                              | No                    |

### Declaration

| Sr. No. | Particular   | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1       | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                      |
| 2       | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                      |
| 3       | Whether the Listed Entity has issued any Warrants ?                                    | No     | No                          | No                 | No                      |
| 4       | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                      |
| 5       | Whether the Listed Entity has any shares in locked-in?                                 | No     | No                          | No                 | No                      |
| 6       | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                         |
| 7       | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                      |
| 8       | Whether the listed entity has any significant beneficial owner?                        | No     |                             |                    |                         |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |          |                         |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
|              |                                |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |          | Total as a % of (A+B+C) |
|              |                                |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total    |                         |
| (A)          | Promoter & Promoter Group      | 14                         | 5171668                                      |  |   | 5171668  | 51.68   | 5171668   |            | 5171668  | 51.68                   |
| (B)          | Public                         | 745                        | 4834999                                      |  |   | 4834999  | 48.32   | 4834999   |            | 4834999  | 48.32                   |
| (C)          | Non Promoter-Non Public        |                            |  |  |   |  |   |   |            |          |                         |
| (C1)         | Shares underlying DRs          |                            |  |  |   |  |   |   |            |          |                         |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |  |   |   |            |          |                         |
|              | Total                          | 759                        | 10006667                                     |  |   | 10006667                                       | 100   | 10006667  |            | 10006667 | 100                     |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |   |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| (A)          | Promoter & Promoter Group      |   |  |  | 51.68   |                                  |                                 |   |                                 | 5171668   |
| (B)          | Public                         |   |  |  | 48.32   |                                  |                                 |   |                                 | 4834999   |
| (C)          | Non Promoter-Non Public        |   |  |  |   |                                  |                                 |   |                                 |   |
| (C1)         | Shares underlying DRs          |   |  |  |   |                                  |                                 |   |                                 |   |
| (C2)         | Shares held by Employee Trusts |   |  |  |   |                                  |                                 |   |                                 |   |
|              | Total                          |   |  |  | 100   |                                  |                                 |   |                                 | 10006667  |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.   | Category & Name of the Shareholders (I)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |          |                                     |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
|   |   |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |          | Total as a % of Total Voting rights |
|   |   |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total    |                                     |
| A   | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group              |                            |  |  |   |  |   |   |            |          |                                     |
| (1)   | Indian  |                            |  |  |   |  |   |   |            |          |                                     |
| (a)   | Individuals/Hindu undivided Family  | 13                         | 4689112                                      |  |   | 4689112                                      | 46.86   | 4689112   |            | 4689112  | 46.86                               |
| (d)   | Any Other (specify)   | 1                          | 482556                                       |  |   | 482556                                       | 4.82  | 482556  |            | 482556   | 4.82                                |
| Sub-Total (A)(1)  |   | 14                         | 5171668                                      |  |   | 5171668                                      | 51.68   | 5171668   |            | 5171668  | 51.68                               |
| (2)   | Foreign   |                            |  |  |   |  |   |   |            |          |                                     |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) |   | 14                         | 5171668                                      |  |   | 5171668                                      | 51.68   | 5171668   |            | 5171668  | 51.68                               |
| B   | Table III - Statement showing shareholding pattern of the Public shareholder                      |                            |  |  |   |  |   |   |            |          |                                     |
| (1)   | Institutions  |                            |  |  |   |  |   |   |            |          |                                     |
| (3)   | Non-institutions  |                            |  |  |   |  |   |   |            |          |                                     |
| (a(i))  | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.         | 663                        | 1242518                                      |  |   | 1242518                                      | 12.42   | 1242518   |            | 1242518  | 12.42                               |
| (a(ii))   | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 39                         | 2399732                                      |  |   | 2399732                                      | 23.98   | 2399732   |            | 2399732  | 23.98                               |
| (e)   | Any Other (specify)   | 43                         | 1192749                                      |  |   | 1192749                                      | 11.92   | 1192749   |            | 1192749  | 11.92                               |
| Sub-Total (B)(3)  |   | 745                        | 4834999                                      |  |   | 4834999                                      | 48.32   | 4834999   |            | 4834999  | 48.32                               |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                  |   | 745                        | 4834999                                      |  |   | 4834999                                      | 48.32   | 4834999   |            | 4834999  | 48.32                               |
| C   | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder     |                            |  |  |   |  |   |   |            |          |                                     |
| Total (A+B+C2)  |   | 759                        | 10006667                                     |  |   | 10006667                                     | 100   | 10006667  |            | 10006667 | 100                                 |
| Total (A+B+C)   |   | 759                        | 10006667                                     |  |   | 10006667                                     | 100   | 10006667  |            | 10006667 | 100                                 |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.  | No. Of Shares Underlying Outstanding convertible securities (X)                               | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
|  |   |  |  |  | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group          |  |  |  |                                  |                                 |   |                                 |   |
| (1)  | Indian  |  |  |  |                                  |                                 |   |                                 |   |
| (a)  |   |  |  | 46.86  |                                  |                                 |   |                                 | 4689112   |
| (d)  |   |  |  | 4.82   |                                  |                                 |   |                                 | 482556  |
| Sub-Total (A) (1)  |   |  |  | 51.68  |                                  |                                 |   |                                 | 5171668   |
| (2)  | Foreign   |  |  |  |                                  |                                 |   |                                 |   |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) |   |  |  | 51.68  |                                  |                                 |   |                                 | 5171668   |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                  |  |  |  |                                  |                                 |   |                                 |   |
| (1)  | Institutions  |  |  |  |                                  |                                 |   |                                 |   |
| ( 3 )  | Non-institutions  |  |  |  |                                  |                                 |   |                                 |   |
| (a(i))   |   |  |  | 12.42  |                                  |                                 |   |                                 | 1242518   |
| (a(ii))  |   |  |  | 23.98  |                                  |                                 |   |                                 | 2399732   |
| (e)  |   |  |  | 11.92  |                                  |                                 |   |                                 | 1192749   |
| Sub-Total (B) (3)  |   |  |  | 48.32  |                                  |                                 |   |                                 | 4834999   |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                   |   |  |  | 48.32  |                                  |                                 |   |                                 | 4834999   |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |                                  |                                 |   |                                 |   |
| Total ( A+B+C2 )   |   |  |  | 100  |                                  |                                 |   |                                 | 10006667  |
| Total (A+B+C )   |   |  |  | 100  |                                  |                                 |   |                                 | 10006667  |

**Individuals/Hindu undivided Family**

| Serial No.  | 1                              | 2                         | 3                        | 4                               | 5                  | 6              | 7                           |
|---|--------------------------------|---------------------------|--------------------------|---------------------------------|--------------------|----------------|-----------------------------|
| Name of the Shareholders (I)  | BHARATKUMAR PARSOTTAMDAS PATEL | BHIKHAJI KACHARAJI CHAVDA | VAGHELA BEENA PRAVINSINH | SURENDRASINH PRAVINSINH VAGHELA | PATEL SAURABHKUMAR | B K CHAVDA HUF | RIKENKUMAR BHARATBHAI PATEL |
| PAN (II)  | CDSP5146D                      | AEQPC0105E                | ADSPV5653R               | AEAPV7791K                      | BWYPP1901N         | AAGHB2397R     | CPQPP6233R                  |
| No. of fully paid up equity shares held (IV)  | 1344655                        | 896666                    | 734666                   | 413333                          | 389166             | 266666         | 210296                      |
| No. Of Partly paid-up equity shares held (V)  |                                |                           |                          |                                 |                    |                |                             |
| No. Of shares underlying Depository Receipts (VI)   |                                |                           |                          |                                 |                    |                |                             |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 1344655                        | 896666                    | 734666                   | 413333                          | 389166             | 266666         | 210296                      |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)                                     | 13.44                          | 8.96                      | 7.34                     | 4.13                            | 3.89               | 2.66           | 2.1                         |
| Number of Voting Rights held in each class of securities (IX)   |                                |                           |                          |                                 |                    |                |                             |
| Class eg:X  | 1344655                        | 896666                    | 734666                   | 413333                          | 389166             | 266666         | 210296                      |
| Class eg:y  |                                |                           |                          |                                 |                    |                |                             |
| Total   | 1344655                        | 896666                    | 734666                   | 413333                          | 389166             | 266666         | 210296                      |
| Total as a % of Total Voting rights   | 13.44                          | 8.96                      | 7.34                     | 4.13                            | 3.89               | 2.66           | 2.1                         |
| No. Of Shares Underlying Outstanding convertible securities (X)   |                                |                           |                          |                                 |                    |                |                             |
| No. of Shares Underlying Outstanding Warrants (Xi)  |                                |                           |                          |                                 |                    |                |                             |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)  |                                |                           |                          |                                 |                    |                |                             |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) | 13.44                          | 8.96                      | 7.34                     | 4.13                            | 3.89               | 2.66           | 2.1                         |

|   |          |                |          |          |          |                |                |
|---|----------|----------------|----------|----------|----------|----------------|----------------|
| As a % of (A+B+C2)  |          |                |          |          |          |                |                |
| Number of Locked in shares (XII)                          |          |                |          |          |          |                |                |
| No. (a)   |          |                |          |          |          |                |                |
| As a % of total Shares held (b)                           |          |                |          |          |          |                |                |
| Number of Shares pledged or otherwise encumbered (XIII)   |          |                |          |          |          |                |                |
| No. (a)   |          |                |          |          |          |                |                |
| As a % of total Shares held (b)                           |          |                |          |          |          |                |                |
| Number of equity shares held in dematerialized form (XIV) | 1344655  | 896666         | 734666   | 413333   | 389166   | 266666         | 210296         |
| Reason for not providing PAN                              |          |                |          |          |          |                |                |
| Reason for not providing PAN                              |          |                |          |          |          |                |                |
| Shareholder type  | Promoter | Promoter Group | Promoter | Promoter | Promoter | Promoter Group | Promoter Group |



**Individuals/Hindu undivided Family**

| Serial No.   | 8                             | 9                          | 10                       | 11                           | 12                  | 13                          |
|--|-------------------------------|----------------------------|--------------------------|------------------------------|---------------------|-----------------------------|
| Name of the Shareholders (I)   | PARASBEN SURENDRASINH VAGHELA | JANAKBA PRAVINSINH VAGHELA | NAITIK BHIKHUSINH CHAVDA | PRAVINSINH NATHUSINH VAGHELA | VIRAL SAURABH PATEL | PATEL USHABEN RAMESHCHANDRA |
| PAN (II)   | ATEPV4525E                    | AFFPV1071C                 | BBIPC3047F               | ABHPV4872D                   | CYYP4257D           | CPQPP5805K                  |
| No. of fully paid up equity shares held (IV)   | 133333                        | 133333                     | 82000                    | 66666                        | 16666               | 1666                        |
| No. Of Partly paid-up equity shares held (V)   |                               |                            |                          |                              |                     |                             |
| No. Of shares underlying Depository Receipts (VI)  |                               |                            |                          |                              |                     |                             |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 133333                        | 133333                     | 82000                    | 66666                        | 16666               | 1666                        |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 1.33                          | 1.33                       | 0.82                     | 0.67                         | 0.17                | 0.02                        |
| Number of Voting Rights held in each class of securities (IX)  |                               |                            |                          |                              |                     |                             |
| Class eg:X   | 133333                        | 133333                     | 82000                    | 66666                        | 16666               | 1666                        |
| Class eg:y   |                               |                            |                          |                              |                     |                             |
| Total  | 133333                        | 133333                     | 82000                    | 66666                        | 16666               | 1666                        |
| Total as a % of Total Voting rights  | 1.33                          | 1.33                       | 0.82                     | 0.67                         | 0.17                | 0.02                        |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                               |                            |                          |                              |                     |                             |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                               |                            |                          |                              |                     |                             |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                               |                            |                          |                              |                     |                             |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.33                          | 1.33                       | 0.82                     | 0.67                         | 0.17                | 0.02                        |
| Number of Locked in shares (XII)   |                               |                            |                          |                              |                     |                             |
| No. (a)  |                               |                            |                          |                              |                     |                             |
| As a % of total Shares held (b)  |                               |                            |                          |                              |                     |                             |



## Individuals/Hindu undivided Family

|  |                                       |
|--|---------------------------------------|
| Serial No.   |                                       |
| Name of the Shareholders (I)   | <a href="#">Click here to go back</a> |
| PAN (II)   | Total                                 |
| No. of fully paid up equity shares held (IV)   | 4689112                               |
| No. Of Partly paid-up equity shares held (V)   |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 4689112                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 46.86                                 |
| Number of Voting Rights held in each class of securities (IX)  |                                       |
| Class eg:X   | 4689112                               |
| Class eg:y   |                                       |
| Total  | 4689112                               |
| Total as a % of Total Voting rights  | 46.86                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 46.86                                 |
| Number of Locked in shares (XII)   |                                       |
| No. (a)  |                                       |
| As a % of total Shares held (b)  |                                       |
| Number of Shares pledged or otherwise encumbered (XIII)  |                                       |
|  |                                       |

|   |         |
|---|---------|
| No. (a)   |         |
| As a % of total Shares held (b)                           |         |
| Number of equity shares held in dematerialized form (XIV) | 4689112 |
| Reason for not providing PAN                              |         |
| Reason for not providing PAN                              |         |
| Shareholder type  |         |

**Any Other (specify)**

|  |                                 |                                       |
|--|---------------------------------|---------------------------------------|
| Serial No.   | 1                               |                                       |
| Category   | Bodies Corporate                | <a href="#">Click here to go back</a> |
| Name of the Shareholders (I)   | SNB CORPORATION PRIVATE LIMITED |                                       |
| PAN (II)   | AASCS2688B                      | Total                                 |
| No. of the Shareholders (I)  | 1                               | 1                                     |
| No. of fully paid up equity shares held (IV)   | 482556                          | 482556                                |
| No. Of Partly paid-up equity shares held (V)   |                                 |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                                 |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 482556                          | 482556                                |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 4.82                            | 4.82                                  |
| <b>Number of Voting Rights held in each class of securities (IX)</b>   |                                 |                                       |
| Class eg: X  | 482556                          | 482556                                |
| Class eg:y   |                                 |                                       |
| Total  | 482556                          | 482556                                |
| Total as a % of Total Voting rights  | 4.82                            | 4.82                                  |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                                 |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                                 |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                                 |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.82                            | 4.82                                  |
| <b>Number of Locked in shares (XII)</b>  |                                 |                                       |
| No. (a)  |                                 |                                       |

|   |                |        |
|---|----------------|--------|
| As a % of total Shares held (b)                           |                |        |
| Number of Shares pledged or otherwise encumbered (XIII)   |                |        |
| No. (a)   |                |        |
| As a % of total Shares held (b)                           |                |        |
| Number of equity shares held in dematerialized form (XIV) | 482556         | 482556 |
| Reason for not providing PAN                              |                |        |
| Reason for not providing PAN                              |                |        |
| Shareholder type  | Promoter Group |        |

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

| Serial No.   | 1                          | 2                          | 3                 | 4                                |                                       |
|--|----------------------------|----------------------------|-------------------|----------------------------------|---------------------------------------|
| Name of the Shareholders (I)   | SATISHKUMAR KESHAVLAL SHAH | CHETNABEN SATISHKUMAR SHAH | MANISHA PRAJAPARI | RAJESHKUMAR RAMESHBHAI PRAJAPATI | <a href="#">Click here to go back</a> |
| PAN (II)   | AAGPS5489K                 | AAQPS0373L                 | BVEPP4549K        | AUQPP0613C                       | Total                                 |
| No. of fully paid up equity shares held (IV)   | 461020                     | 296362                     | 127757            | 115033                           | 1000172                               |
| No. Of Partly paid-up equity shares held (V)   |                            |                            |                   |                                  |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                            |                            |                   |                                  |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 461020                     | 296362                     | 127757            | 115033                           | 1000172                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 4.61                       | 2.96                       | 1.28              | 1.15                             | 10                                    |
| Number of Voting Rights held in each class of securities (IX)  |                            |                            |                   |                                  |                                       |
| Class eg: X  | 461020                     | 296362                     | 127757            | 115033                           | 1000172                               |
| Class eg:y   |                            |                            |                   |                                  |                                       |
| Total  | 461020                     | 296362                     | 127757            | 115033                           | 1000172                               |
| Total as a % of Total Voting rights  | 4.61                       | 2.96                       | 1.28              | 1.15                             | 10                                    |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                            |                            |                   |                                  |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                            |                            |                   |                                  |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                            |                            |                   |                                  |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.61                       | 2.96                       | 1.28              | 1.15                             | 10                                    |
| Number of Locked in shares (XII)   |                            |                            |                   |                                  |                                       |
| No. (a)  |                            |                            |                   |                                  |                                       |
| As a % of total Shares held (b)  |                            |                            |                   |                                  |                                       |
| Number of equity shares held in  | 461020                     | 296362                     | 127757            | 115033                           | 1000172                               |

|                              |  |  |  |  |  |
|------------------------------|--|--|--|--|--|
| dematerialized form (XIV)    |  |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |



**Any Other (specify)**

| Serial No.  | 1                | 2                                      | 3                                      | 4                                      | 5                                      | 6                | 7                         |
|---|------------------|--|--|--|--|------------------|---------------------------|
| Category  | Bodies Corporate | Bodies Corporate                       | Bodies Corporate                       | Bodies Corporate                       | Bodies Corporate                       | Clearing Members | Non-Resident Indian (NRI) |
| Category / More than 1 percentage   | Category         | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category         | Category                  |
| Name of the Shareholders (I)  |                  | SACHETA METALS LIMITED                 | PROLIFE INDUSTRIES LIMITED             | RCSPL MULTICOMMODITIES PRIVATE LTD     | RCSPL SHARE BROKING PRIVATE LIMITED    |                  |                           |
| PAN (II)  |                  | AACCS8742C                             | AAACR9172R                             | AAHCR9105R                             | AAHCR9104Q                             |                  |                           |
| No. of the Shareholders (I)   | 10               | 1                                      | 1                                      | 1                                      | 1                                      | 5                | 4                         |
| No. of fully paid up equity shares held (IV)  | 1055608          | 509612                                 | 136666                                 | 124350                                 | 120360                                 | 74496            | 1319                      |
| No. Of Partly paid-up equity shares held (V)  |                  |  |  |  |  |                  |                           |
| No. Of shares underlying Depository Receipts (VI)   |                  |  |  |  |  |                  |                           |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 1055608          | 509612                                 | 136666                                 | 124350                                 | 120360                                 | 74496            | 1319                      |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 10.55            | 5.09                                   | 1.37                                   | 1.24                                   | 1.2                                    | 0.74             | 0.01                      |
| <b>Number of Voting Rights held in each class of securities (IX)</b>                                |                  |  |  |  |  |                  |                           |
| Class eg: X   | 1055608          | 509612                                 | 136666                                 | 124350                                 | 120360                                 | 74496            | 1319                      |
| Class eg:y  |                  |  |  |  |  |                  |                           |
| Total   | 1055608          | 509612                                 | 136666                                 | 124350                                 | 120360                                 | 74496            | 1319                      |
| Total as a % of Total Voting rights   | 10.55            | 5.09                                   | 1.37                                   | 1.24                                   | 1.2                                    | 0.74             | 0.01                      |
| No. Of Shares Underlying Outstanding convertible securities (X)                                     |                  |  |  |  |  |                  |                           |
| No. of Shares Underlying Outstanding Warrants (Xi)  |                  |  |  |  |  |                  |                           |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)            |                  |  |  |  |  |                  |                           |
| Shareholding , as a % assuming full conversion of convertible securities (as a                      | 10.55            | 5.09                                   | 1.37                                   | 1.24                                   | 1.2                                    | 0.74             | 0.01                      |

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|

|   |         |        |        |        |        |       |      |
|---|---------|--------|--------|--------|--------|-------|------|
| Number of Locked in shares (XII)                          |         |        |        |        |        |       |      |
| No. (a)   |         |        |        |        |        |       |      |
| As a % of total Shares held (b)                           |         |        |        |        |        |       |      |
| Number of equity shares held in dematerialized form (XIV) | 1055608 | 509612 | 136666 | 124350 | 120360 | 74496 | 1319 |
| Reason for not providing PAN                              |         |        |        |        |        |       |      |
| Reason for not providing PAN                              |         |        |        |        |        |       |      |

**Any Other (specify)**

|  |          |                                       |
|--|----------|---------------------------------------|
| Serial No.   | 8        |                                       |
| Category   | HUF      |                                       |
| Category / More than 1 percentage  | Category |                                       |
| Name of the Shareholders (I)   |          | <a href="#">Click here to go back</a> |
| PAN (II)   |          | Total                                 |
| No. of the Shareholders (I)  | 24       | 43                                    |
| No. of fully paid up equity shares held (IV)   | 61326    | 1192749                               |
| No. Of Partly paid-up equity shares held (V)   |          |                                       |
| No. Of shares underlying Depository Receipts (VI)  |          |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 61326    | 1192749                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 0.61     | 11.91                                 |
| <b>Number of Voting Rights held in each class of securities (IX)</b>   |          |                                       |
| Class eg: X  | 61326    | 1192749                               |
| Class eg:y   |          |                                       |
| Total  | 61326    | 1192749                               |
| Total as a % of Total Voting rights  | 0.61     | 11.91                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |          |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |          |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |          |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.61     | 11.91                                 |
| <b>Number of Locked in shares (XII)</b>  |          |                                       |

|   |       |         |
|---|-------|---------|
| No. (a)   |       |         |
| As a % of total Shares held (b)                           |       |         |
| Number of equity shares held in dematerialized form (XIV) | 61326 | 1192749 |
| Reason for not providing PAN                              |       |         |
| Reason for not providing PAN                              |       |         |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence